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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

SOBOLEWSKI

Serial No.: 09/682,634

Filed: October 1, 2001

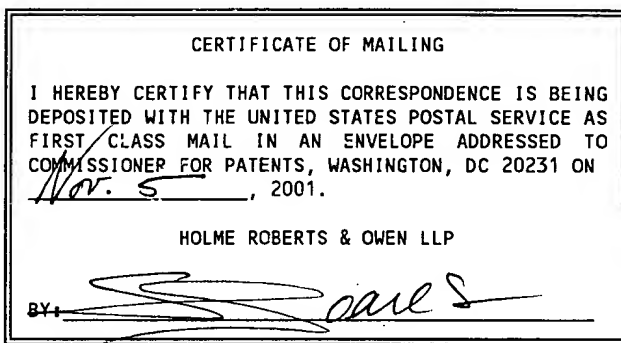
Atty. File No.: 46286-01005

For: "NETWORK ATTACHED STORAGE)  
SYSTEM WITH DATA STORAGE )  
DEVICE HOT SWAP CAPABILITY")

) Group Art Unit: not yet assigned

) Examiner: not yet assigned

) SUBMISSION OF MISSING PARTS



Box MISSING PARTS  
Commissioner For Patents  
Washington, D.C. 20231

Dear Sir:

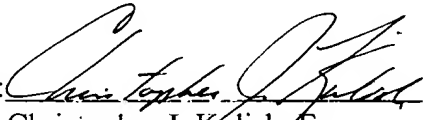
In response to the Notice to File Missing Parts dated October 12, 2001, in connection with the above-identified application, enclosed herewith please find a Declaration and a check for \$444.00. Please credit any overpayment or debit any underpayment to Deposit Account No. 08-2665. Please note that the application was filed with a small entity fee transmittal, a copy of which is attached.

A copy of the Notice to File Missing Parts is also enclosed.

Please address all future correspondence to the undersigned attorney at the address below.

Respectfully submitted,

HOLME ROBERTS & OWEN LLP

By:   
Christopher J. Kulish, Esq.  
Registration No. 33,056  
1700 Lincoln Street, Suite 4100  
Denver, Colorado 80203-4541  
(303) 861-7000

Date: November 5, 2001

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT  | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|------------------------|------------------------|
| 09/682,634         | 10/01/2001          | Zbigniew S. Sobolewski | 46286-01005            |

CONFIRMATION NO. 2273

## FORMALITIES-LETTER



25928  
CHRISTOPHER J. KULISH  
1700 LINCOLN STREET  
SUITE 4100  
DENVER, CO 80203

Date Mailed: 10/12/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/18/2002 BABRAH1 00000078 09682634

01 FC:201 370.00 OP  
02 FC:205 65.00 OP  
03 FC:203 9.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$18.  
    ■ \$18 for 1 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 888.

*A copy of this notice MUST be returned with the reply.*

*Christina Harris*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



# FEE TRANSMITTAL

Electronic Version 1.0.4

Stylesheet Version: 1.0

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Patent fees are subject to annual revisions on or about October 1st of each year.

Small Entity

Small Business Concern

**TOTAL FEES AUTHORIZED: \$ 364**

## BASIC FILING FEE

| Fee Description    | Fee Code | Fee Paid |
|--------------------|----------|----------|
| Utility Filing Fee | 201      | \$ 355   |

Subtotal For Basic Filing Fee: \$ 355

## EXTRA CLAIM FEES

|                       | Fee Code | Fee   | Extra Claims | Fee Paid |
|-----------------------|----------|-------|--------------|----------|
| Total Claims: 21      | 203      | \$ 9  | 1            | \$ 9     |
| Independent Claims: 3 | 202      | \$ 40 | 0            | \$ 0     |

Subtotal For Extra Claims Fees: \$ 9